

## Schedule of Operational and Service Fees

Please review the chart below for a list of fees, effective August 1, 2020.

Standard Account Fee	\$65
Individual Retirement Account Fee	\$55
UGMA/UTMA	\$45
Advantage (check writing, Visa/Debit card)	\$125
ACAT Delivery Fee	\$95
Account Inactivity Fee	\$50
Trading Fee	\$10
Exchange/Other Fees	Cost
Confirm/Handling Transaction Fee	\$3.95
No Load Mutual Fund Accommodation Fee	\$15
Mutual Fund Liquidation Ticket Charge	\$15-25
Direct Registration Service (DRS) Rejection	\$50
Rejection of Ineligible Physical Certificates Presented for Deposit	\$50
Replace Certificate Lost by Client expenses	\$100 + out-of-pocket
Safekeeping Fee	\$150 per position -annually
Outgoing Wire Transfer - Domestic or Foreign	\$25
Returned Items (Insufficient Funds)	\$20 for Advantage
Stop Payment (Advantage)	\$25
Deposited/Cashed Item Chargeback	\$10
IRA Termination	\$95
Alternative Investment Fees	\$100 annual per position \$600 maximum per acct
Physical Certificate Issuance	\$500 (min) standard \$500(min) rush (3-5 days)